

ATTACHMENT 1
COUNTY LETTER OF INTENT TO APPLY FOR FUNDING
Pre-Trial Felony Mental Health Diversion Programs

Please complete this attachment and submit via email to DSHDiversion@dsh.ca.gov by the due date referenced below. If you need additional time to submit this attachment, please email to request an extension before the due date referenced below.

Due Date – Round 1 “Top 15” Counties Only: Wednesday, October 31, 2018

1. Name of Person Submitting Letter of Intent:

County Name: County of Fresno

Contact Number: (559) 600-9193 Email Address: dutecht@fresnocountyca.gov

- 2. Will your county apply for this funding opportunity?** ☒ **YES** ☐ **NO**
If yes, complete the additional information requested below.

3. Lead Entity (Organization) Information

Name of Lead Entity: County of Fresno, Department of Behavioral Health

Lead Entity Address: 3133 N. Millbrook Avenue, Fresno, CA 93703

Name of primary contact person: Dawan Utecht, Director

Primary contact phone number: (559) 600-9193

Email Address: dutecht@fresnocountyca.gov

- 4. Collaborative Partners:** Identify the county organizations and other entities who will be involved in developing and/or implementing the diversion plan (check all that apply and list any other partners not referenced below):

a. Health

- | | |
|--|---|
| <input checked="" type="checkbox"/> County Behavioral Health | <input checked="" type="checkbox"/> Community-based treatment providers |
| <input type="checkbox"/> Hospitals | <input type="checkbox"/> Housing Providers |
| <input type="checkbox"/> Others (please list): | |

b. Criminal Justice

- | | |
|--|---|
| <input checked="" type="checkbox"/> Courts | <input checked="" type="checkbox"/> District Attorney |
| <input checked="" type="checkbox"/> Public Defender | <input checked="" type="checkbox"/> Probation |
| <input checked="" type="checkbox"/> Sheriff/Jail Administrator | <input type="checkbox"/> Others (please list): |

c. Other (please list):

5. **Technical Assistance:** What type of technical assistance will be useful to achieve success?
Check all that apply:

- ☒ a. Planning support
- ☒ b. Implementation support
- ☒ c. Information on appropriate treatment and support services for this population
- ☒ d. Assistance with data collection for reporting requirements
- ☐ e. Other (please specify):

6. **Program Planning:** Please check the appropriate box and complete the related questions:

- ☐ a. We already have a plan for this funding opportunity. If selected,
 - i. Please provide a brief description of the proposed local diversion program and use of funding (attach separate page if needed):
- ☒ b. We need assistance in developing a plan. If selected,

1. Please briefly describe where you are in planning process and the timeline you anticipate for planning through implementation, etc.:

Members of the County of Fresno Departments of Behavioral Health, Public Defender, District Attorney, Sheriff's Office, Probation, and the County Administrative Office along with the Superior Court System have been meeting regularly to discuss how AB 1810 and SB 215 will impact and be able to provide needed funding for a Jail Diversion Program. Discussions have involved identifying gaps in services and where possible funding could be most beneficial within the County for a Jail Diversion Program.

To assist in this process, the Department of Behavioral Health (DBH) has provided all of the other partners identified above with a list of all of the currently available services (including a summary of services, target population, and approximate caseload) provided by DBH staff and/or contracted providers of services to better understand DBH's existing system of care.

The County continues to seek collaboration among all partners. There are two additional planning meetings already scheduled within the next several months. As the County receives additional technical assistance from DSH, the partners will come together to determine key components for a Jail Diversion Program including but not limited to: how individuals will be identified as prospective clients, what type of treatment and supports should be put in place, and how will the continuum of care be upheld by the provider of services and be connected to additional services once diversion programming has been completed.

The County intends to abide by all timelines set by DSH for the participation in contract negotiation and execution of the contract. The County estimates that the resulting competitive bid process for the provider of services and eventual contract

negotiations and ramp up required before implementation of said services will be completed during FY 2019-2020.

ATTACHMENT 2
REQUEST FOR LETTERS OF INTENT
Pre-Trial Felony Mental Health Diversion Programs

PROJECT OVERVIEW

This is a funding opportunity to help address gaps in county systems to assist with either 1) expanding or adapting current programs, or 2) developing new pre-trial diversion programs across a continuum of care settings for individuals who have significant mental health challenges who are justice-involved and are found or at risk to be found Incompetent to Stand Trial (IST) on felony charges. Counties are encouraged to leverage all available funding sources, as appropriate.

Target Population: While the authorized legislation clarifies the goal of demonstrating the potential to reduce the number of felony IST referrals to DSH, the greater benefit to the individuals who are deemed appropriate to participate in the diversion program, is the connection to long-term community-based treatment and supportive services. Ultimately, the goal is to help reverse the cycle of ongoing criminal justice involvement. The target population to be served includes individuals at risk of being or deemed IST on a felony charge who meet all the following criteria:

- a. Individuals diagnosed with schizophrenia, schizoaffective disorder, or bipolar disorder, and
- b. Where there is a significant relationship between the individual's mental illness and the charged offense, or conditions of homelessness and the charged offense, and
- c. Who do not pose an unreasonable risk of danger to public safety if treated in the community.

The authorization for the DSH Diversion funding opportunity established a goal of demonstrating the potential to reduce felony IST referrals to DSH by 20% to 30% as compared to Fiscal Year (FY) 2016-17. At the high end, DSH's overall target population equates to a total of 700 clients of which 640 clients are associated with the Top 15 counties. DSH used this information to establish a low to high target range for the number of potential clients that may be served by local diversion programs in each county.

This information is to be used by counties as a benchmark for program planning in relation to the funding levels identified. Population targets for each of the 15 counties as part of Round 1 are referenced on Attachment 3.

Funding: Of the total funding available, \$91 million is set aside for the following 15 counties: Alameda, Contra Costa, Fresno, Kern, Los Angeles, Riverside, Sacramento, San Bernardino, San Diego, San Joaquin, Santa Barbara, Santa Clara, Solano, Sonoma, Stanislaus. Additionally, up to \$8.5 million is available for other counties interested in this funding opportunity. The funding opportunity for other counties not included in the 15 identified in Round 1 will be released as part of a future Round 2 notification, expected for release in November 2018.

Utilizing the target population range identified, DSH has established a low to high end funding allocation range for each county. This information is to be used by counties as a benchmark for program planning.

The high-end funding allocation is the maximum amount available to the county at this stage. If there is a balance of funds from the total DSH appropriation, additional opportunities to apply for an increase in funds will be made available. Funding allocation ranges and the methodology applied are referenced on Attachment 3.

Required Match of County Funds: Participating counties must demonstrate a 20% match of county funds towards the total DSH Diversion funds allocated. Small counties must demonstrate a 10% match of county funds. A small county is defined as a county with a population of 200,000 or

less based on the most recent available estimates of population data determined by the Population Research Unit of the Department of Finance. The county match may be provided in the form of cash, certified public expenditures, or a combination thereof. The funds shall not be used to supplant existing services or services reimbursable from an available source, but rather to expand upon them or support new services for which existing reimbursement may be limited.

Up to 5% of the required county match may be met through county administrative costs associated with program development and reporting and evaluation activities for the diversion program.

Local Diversion Plan and Contract Development: DSH recognizes that some counties have experience in operating local diversion programs, have strong support from the collaborative partners and know exactly how DSH Diversion funds will be utilized within the existing county program infrastructure. Other counties may need technical assistance in planning for the use of the DSH Diversion funds. The information below is intended as a guide for counties on the type of information DSH will be looking for when discussing the proposed local diversion plan and use of funds.

1. Collaborative approach: Is a commitment to collaboration between community stakeholders and other partner government agencies demonstrated? Who are the partners involved in the planning and/or implementation process?
2. Description of proposed local diversion plan
 - a. What are the key components of your planned diversion?
 - b. How will appropriate individuals be identified as prospective clients?
 - c. What type of treatment and support services, including potential supervision and housing do you foresee connecting them with?
 - d. Do you have an estimate of the number of people you will serve in this plan? How does that compare to the target population range identified by DSH?
 - e. How will you connect clients to ongoing services in the community after they have completed diversion programming?
3. Description of how your plan builds on existing system-planning efforts (e.g., Community Corrections Partnership, Mental Health Services Act Plan, Stepping Up Initiative, Criminal Justice/Mental Health Task Force, etc.) and addresses identified gaps.
4. Budget Information:
 - a. What is the estimated cost for your local diversion program or plan?
 - b. What other funding/resources will be leveraged to carry out the program or plan?
 - i. What funding/resources will be used as contribution toward the 20% match requirement?
 - c. What is the gap in funding that will be supported by the DSH Diversion funds?
5. Will you comply with all quarterly reporting requirements? Reporting requirements are outlined WIC 4361.
6. What challenges do you foresee in undertaking this effort?

Attachment 3
DSH Diversion Funding Program
Low to High Range Allocation and Population
Top 15 Counties Only

Top 15 County	3-Year Allocation (Low End @ 20%)			3-Year Allocation (High End @ 30%)			POPULATION TARGETS	
	3-Year DSH Allotment Lower End	20% County Matching Funds	3-YEAR TOTAL	3-Year DSH Allotment Higher End	20% County Matching Funds	3-YEAR TOTAL	Low End @ 20%	High End @ 30%
Los Angeles	\$ 11,218,000	\$ 2,243,600	\$ 13,461,600	\$ 25,864,100	\$ 5,172,820	\$ 31,036,920	79.0	181.9
Kern	\$ 5,254,000	\$ 1,050,800	\$ 6,304,800	\$ 7,891,400	\$ 1,578,280	\$ 9,469,680	37.0	55.5
San Bernardino	\$ 4,970,000	\$ 994,000	\$ 5,964,000	\$ 7,464,800	\$ 1,492,960	\$ 8,957,760	35.0	52.5
Riverside	\$ 4,601,000	\$ 920,200	\$ 5,521,200	\$ 6,910,100	\$ 1,382,020	\$ 8,292,120	32.4	48.6
San Diego	\$ 3,948,000	\$ 789,600	\$ 4,737,600	\$ 5,928,800	\$ 1,185,760	\$ 7,114,560	27.8	41.7
Fresno	\$ 3,891,000	\$ 778,200	\$ 4,669,200	\$ 5,843,700	\$ 1,168,740	\$ 7,012,440	27.4	41.1
Sacramento	\$ 2,982,000	\$ 596,400	\$ 3,578,400	\$ 4,478,900	\$ 895,780	\$ 5,374,680	21.0	31.5
Santa Clara	\$ 2,840,000	\$ 568,000	\$ 3,408,000	\$ 4,265,600	\$ 853,120	\$ 5,118,720	20.0	30.0
Sonoma	\$ 2,556,000	\$ 511,200	\$ 3,067,200	\$ 3,839,100	\$ 767,820	\$ 4,606,920	18.0	27.0
Stanislaus	\$ 2,272,000	\$ 454,400	\$ 2,726,400	\$ 3,412,500	\$ 682,500	\$ 4,095,000	16.0	24.0
Solano	\$ 2,158,000	\$ 431,600	\$ 2,589,600	\$ 3,242,300	\$ 648,460	\$ 3,890,760	15.2	22.8
Contra Costa	\$ 2,073,000	\$ 414,600	\$ 2,487,600	\$ 3,114,100	\$ 622,820	\$ 3,736,920	14.6	21.9
Alameda	\$ 2,073,000	\$ 414,600	\$ 2,487,600	\$ 3,114,100	\$ 622,820	\$ 3,736,920	14.6	21.9
San Joaquin	\$ 1,988,000	\$ 397,600	\$ 2,385,600	\$ 2,986,000	\$ 597,200	\$ 3,583,200	14.0	21.0
Santa Barbara	\$ 1,761,000	\$ 352,200	\$ 2,113,200	\$ 2,644,500	\$ 528,900	\$ 3,173,400	12.4	18.6
TOTALS	\$ 54,585,000	\$ 10,917,000	\$ 65,502,000	\$ 91,000,000	\$ 18,200,000	\$ 109,200,000	384.4	640.0

Population Target Methodology/Assumptions:

- 1) Major Program Goal: Reduce IST referrals to DSH by approximately 20-30% as compared to FY 2016-17. High End Target = 640 (target modified due to high volume of LA referrals)
- 2) Establish range for DSH Diversion population for each county by calculating 20-30% of the total FY 2016-17 IST referrals to DSH, except for LA County due to high volume of referral:
- 3) Modify LA County population range by: 1) calculate maximum 30% target for other 14 counties; 2) reduce sum total of 14 counties from DSH's High End target of 640 to calculate remaining balance; 3) apply this remaining balance to LA County. For the Low End target, calculate the amount that is 10% lower than the remaining balance. This reflects a consistent spread between Low and High End amounts calculated for all other counties.
- 4) Numbers are rounded to nearest tenth of a percent

Funding Allocation Methodology/Assumptions:

- 1) Maximum allocation funding for Top 15 Counties = \$91 million
- 2) Establish standardized allocation rate per client using the following formula: Total Funds divided by total DSH High End Target Population (\$91M / 640 = \$142,000 allocation rate)
- 3) Apply allocation rate to each county's target population range to establish a low to high end allocation range for Top 15 counties
- 4) High End Allocation Range = Maximum amount of funding available in Round 1
- 5) Allocation amounts are rounded to the nearest thousand and higher end allocations include additional proportion of funds to ensure total equates to \$91,000,000
- 6) Additional funds may be awarded to counties in subsequent rounds if there is a balance available for distribution